



PTO/SB/17 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$130.00)

**Complete if Known**

Application Number	09/855,894
Filing Date	5/15/2001
First Named Inventor	Ning
Examiner Name	TBD
Group Art Unit	1763
Attorney Docket No.	2001 P 08586 US

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number: 50-1065  
Deposit Account Name: Slater & Matsil, L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

**2. Payment Enclosed:**

- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$710)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
Independent Claims	-20**= 0	X	=
Multiple Dependent	-3**= 0	X	=

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater, For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	\$130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130.00)

**SUBMITTED BY**

Name (Print/Type)	Kay Houston	Registration No (Attorney/Agent)	38,495	Telephone	972-732-1001
Signature				Date	9/24/01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ning Attorney Docket No: 2001 P 08586 US  
Filed: 5/15/2001 Examiner: TBD  
Serial No.: 09/855,894 Art Unit: 1763  
For: A Single RIE Process for MIMCAP Top and Bottom Plates

MAILING CERTIFICATE PURSUANT TO  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being sent by first  
class mail, addressed to **Box PGPUB Drawings**, Assistant  
Commissioner for Patents, Washington, D.C. 20231.

*Joan K. Konkel* 9-24-01  
Joan K. Konkel Date

Adjustment date: 01/02/2002 EEVANS  
10/01/2001 CCHAU1 00000002 09855894

01 FC:122

-130.00 OP

**PETITION TO SUBMIT REPLACEMENT  
DRAWINGS FOR PUBLICATION**

Box PGPUB DRAWINGS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant hereby petitions to have the above-referenced patent application published with the enclosed formal drawings, pursuant to the notice in The Official Gazette on January 16, 2001. These formal drawings are being submitted for publication within the later of one month from the filing date of the application or fourteen months from the earliest filing date for which a benefit is sought.

Enclosed is a check in the amount of \$130.00 for the Petition Fee. Please charge any additional fees required to Deposit Account No. **50-1065**.

Respectfully submitted,

Kay Houston 01/02/2002 EEVANS 00000005 09855894  
Reg. No. 38,495

01 FC:632

130.00 OP

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